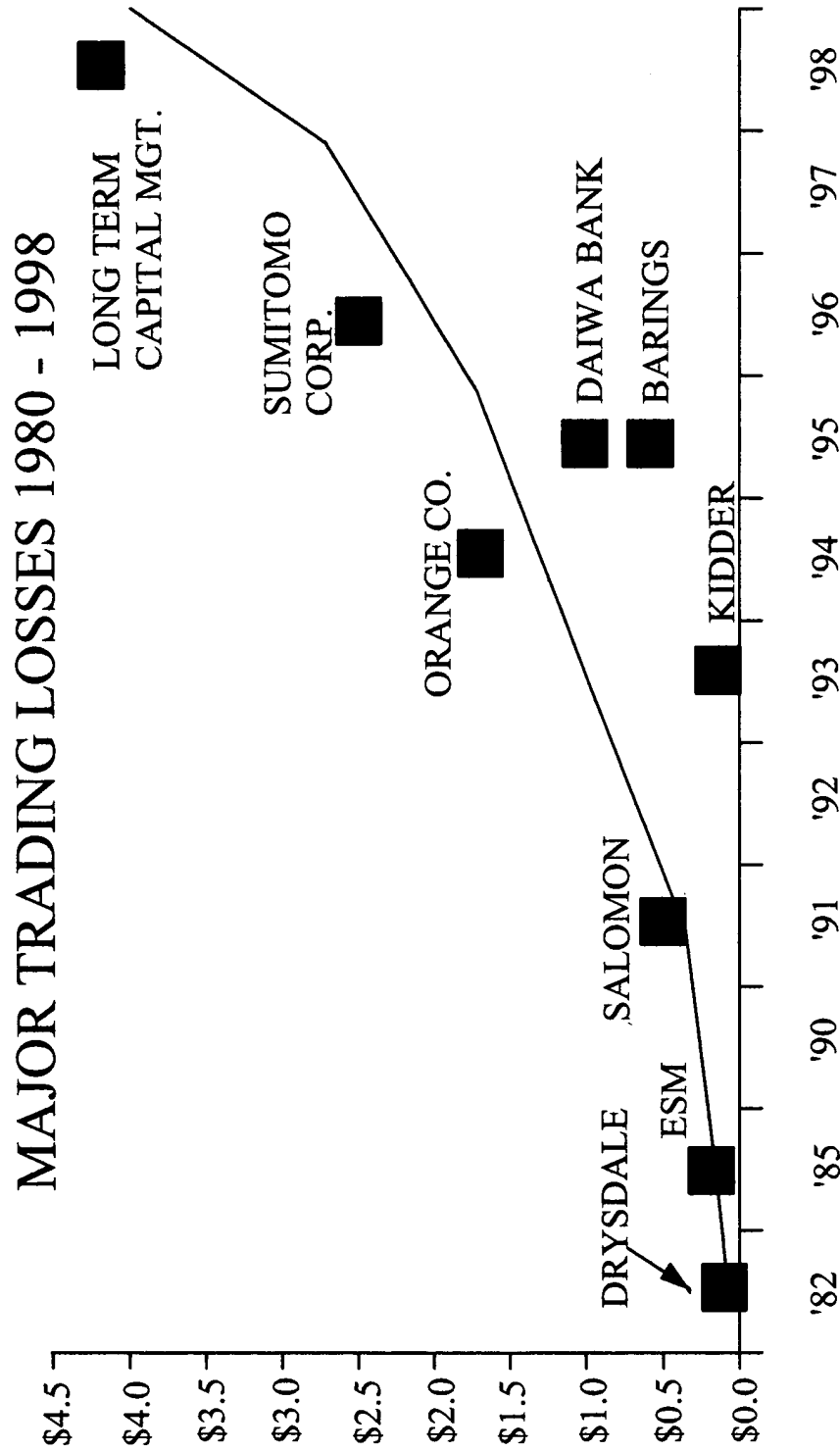


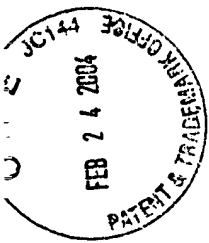
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PRIOR ART

FIG. 1





CONSUMERS OF FINANCIAL DATA AND INFORMATION AT BANKS, BROKERS, DEALERS, INVESTMENT MANAGERS, TRUSTS, MUTUAL FUND COMPANIES, INSURANCE COMPANIES, CUSTODIANS, AND THE LIKE

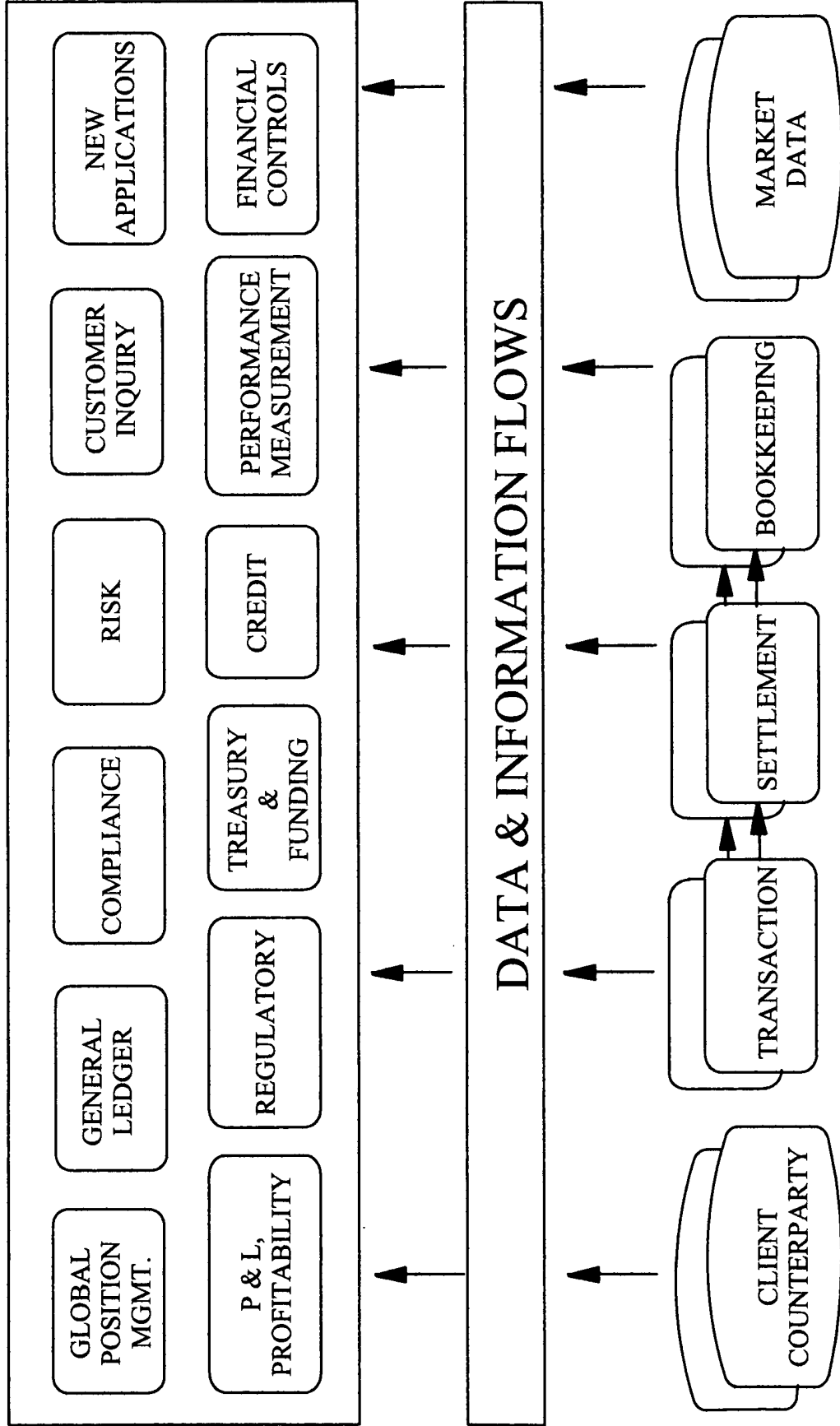


FIG. 2

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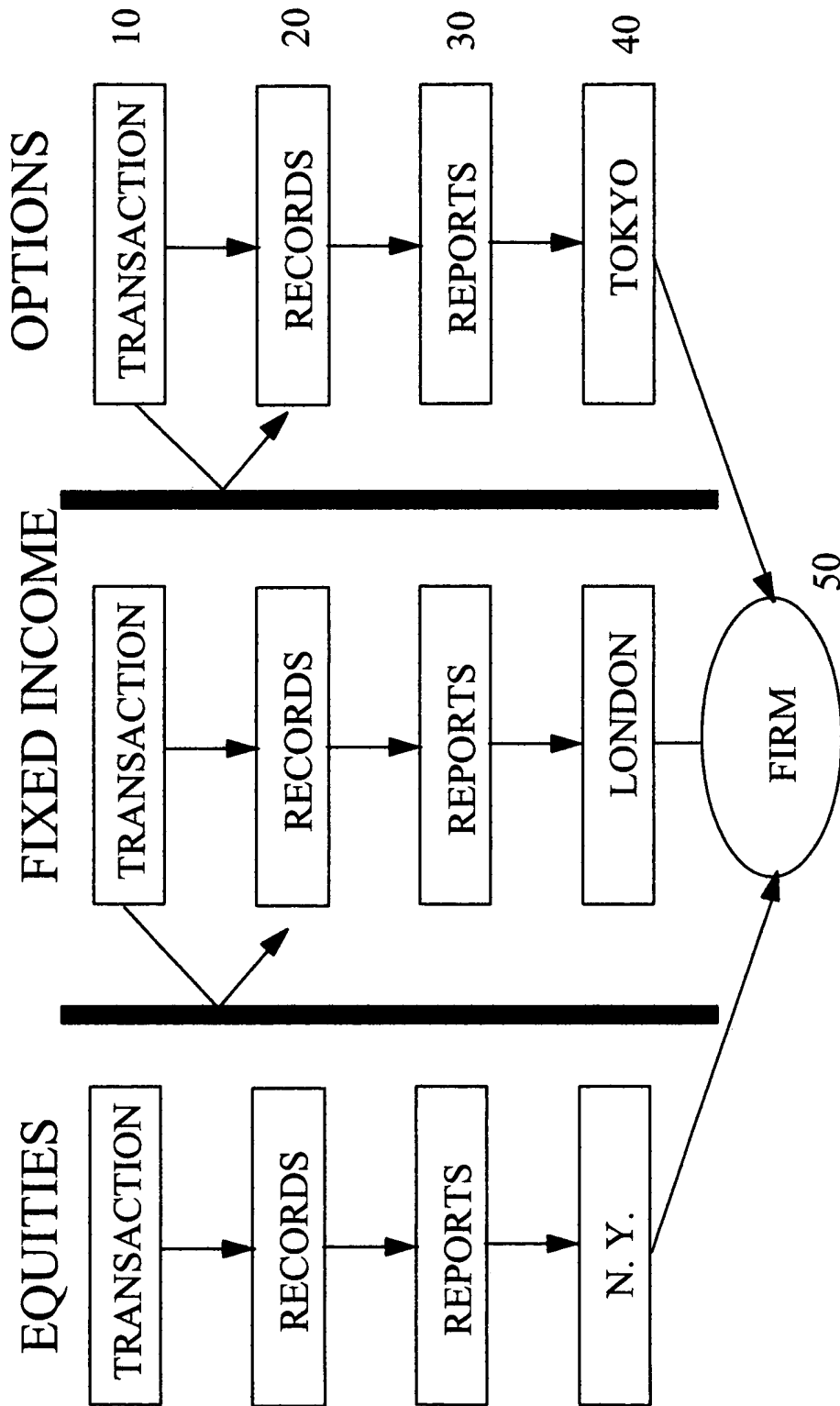


FIG. 3



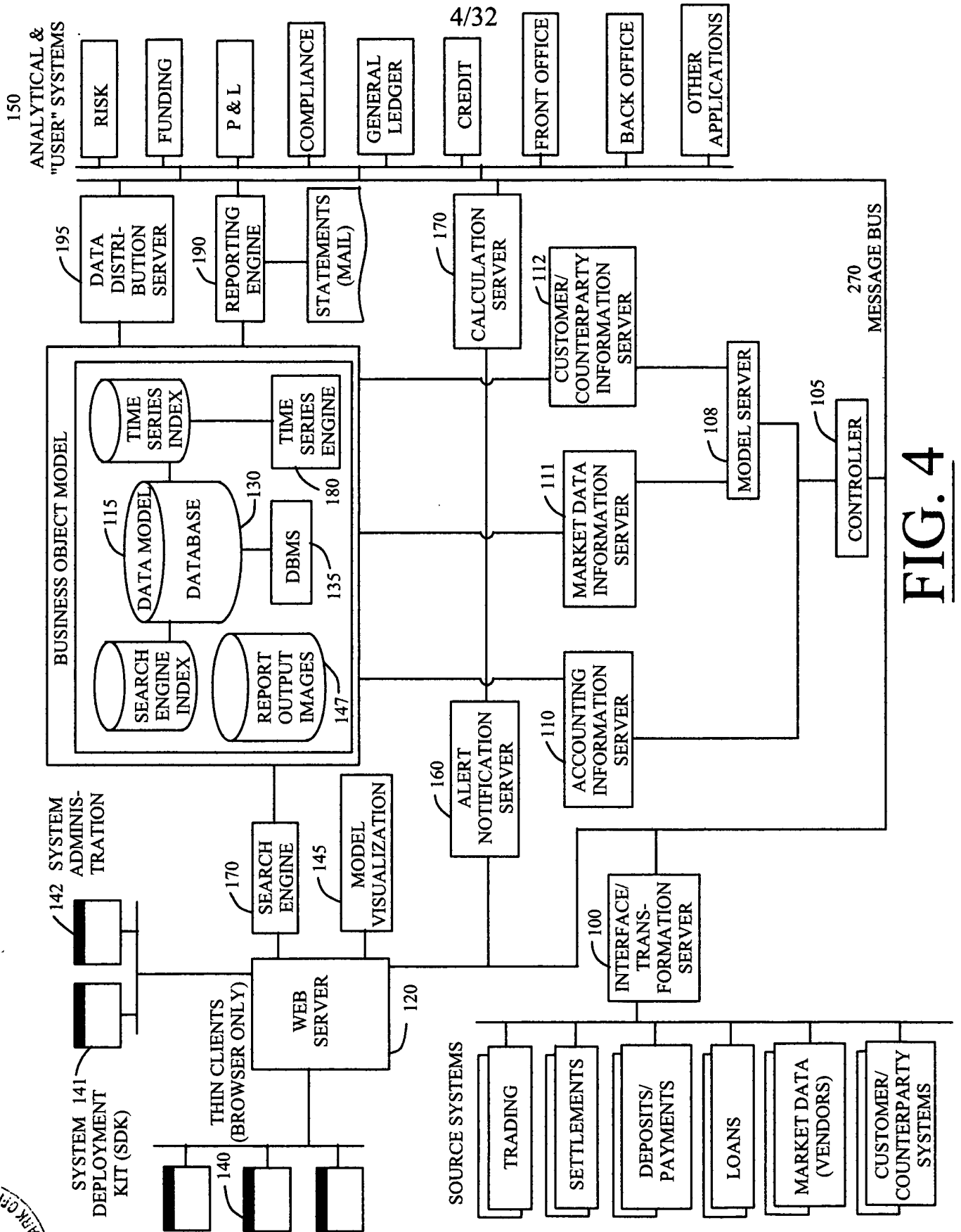


FIG. 4



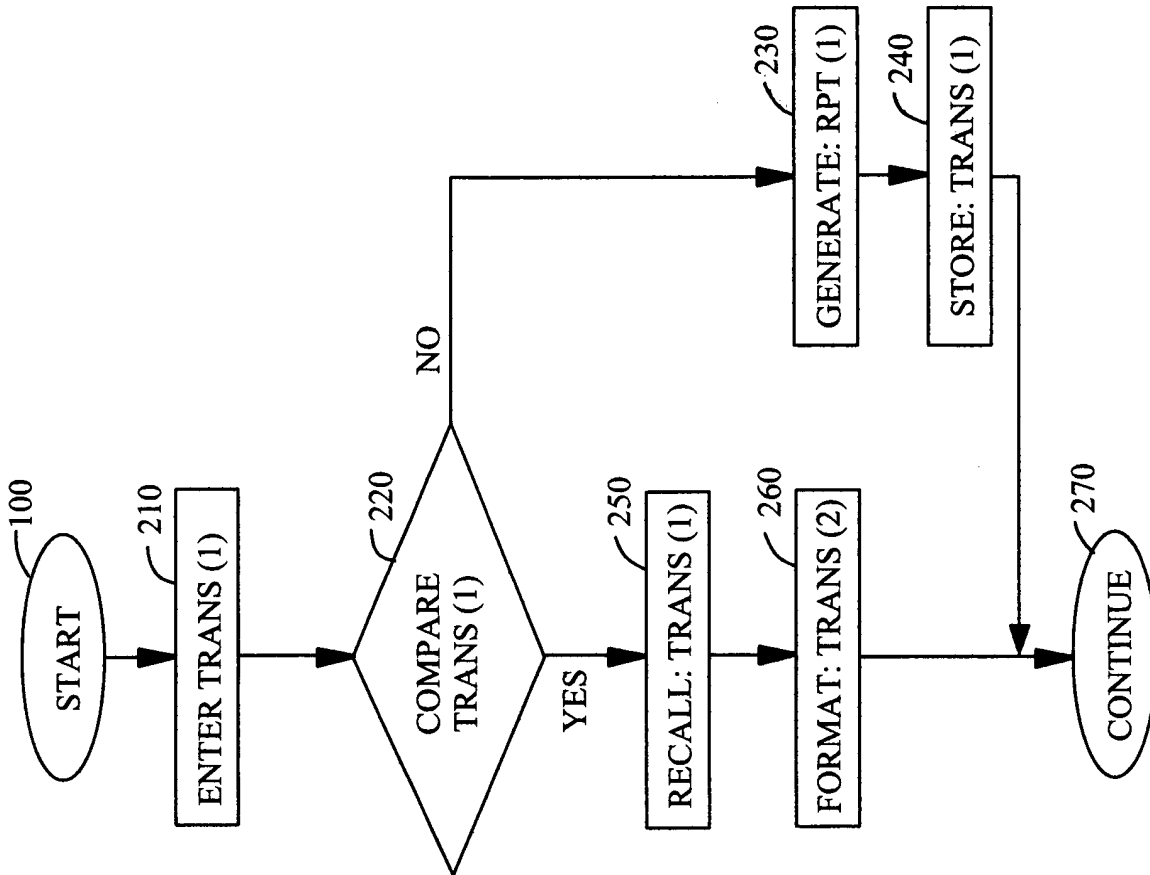


FIG. 5



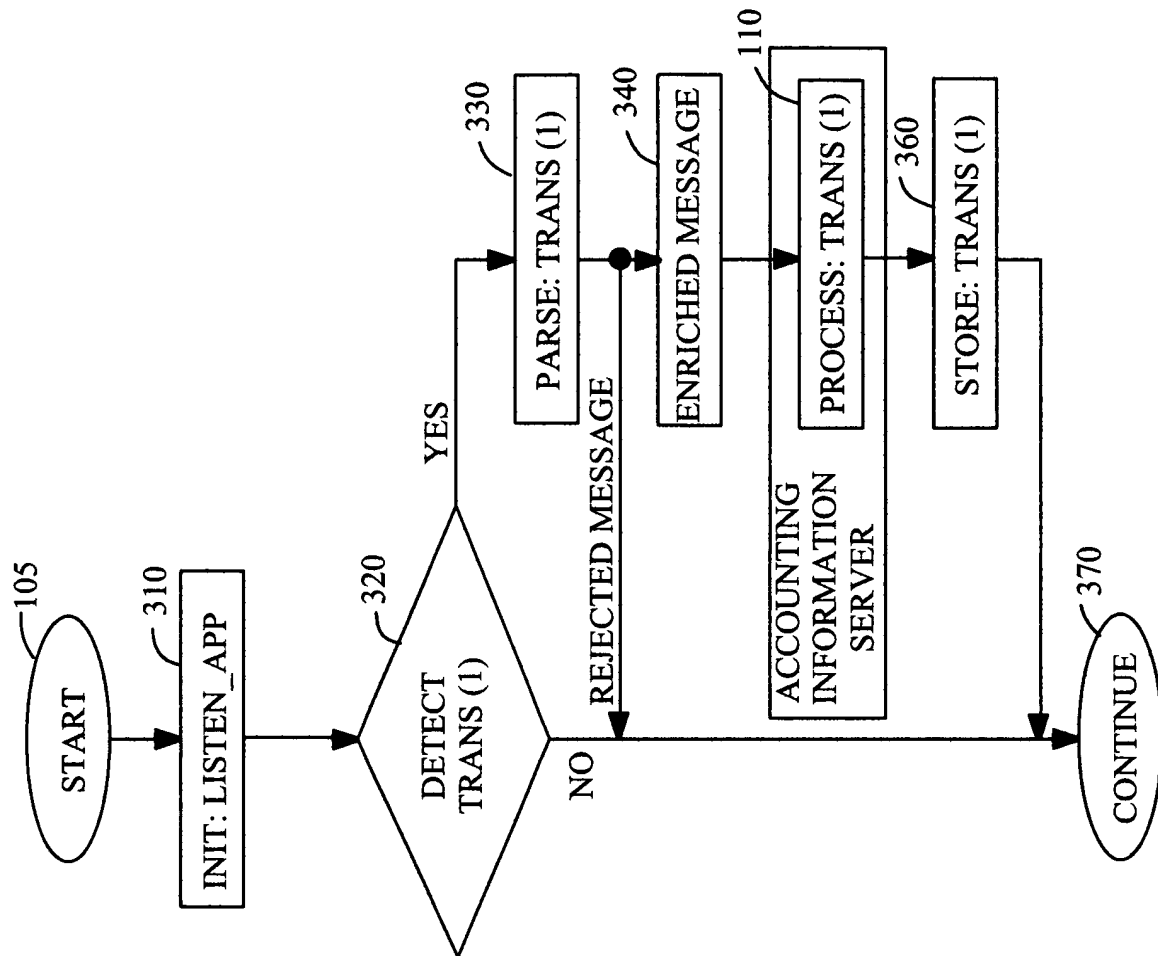


FIG. 6



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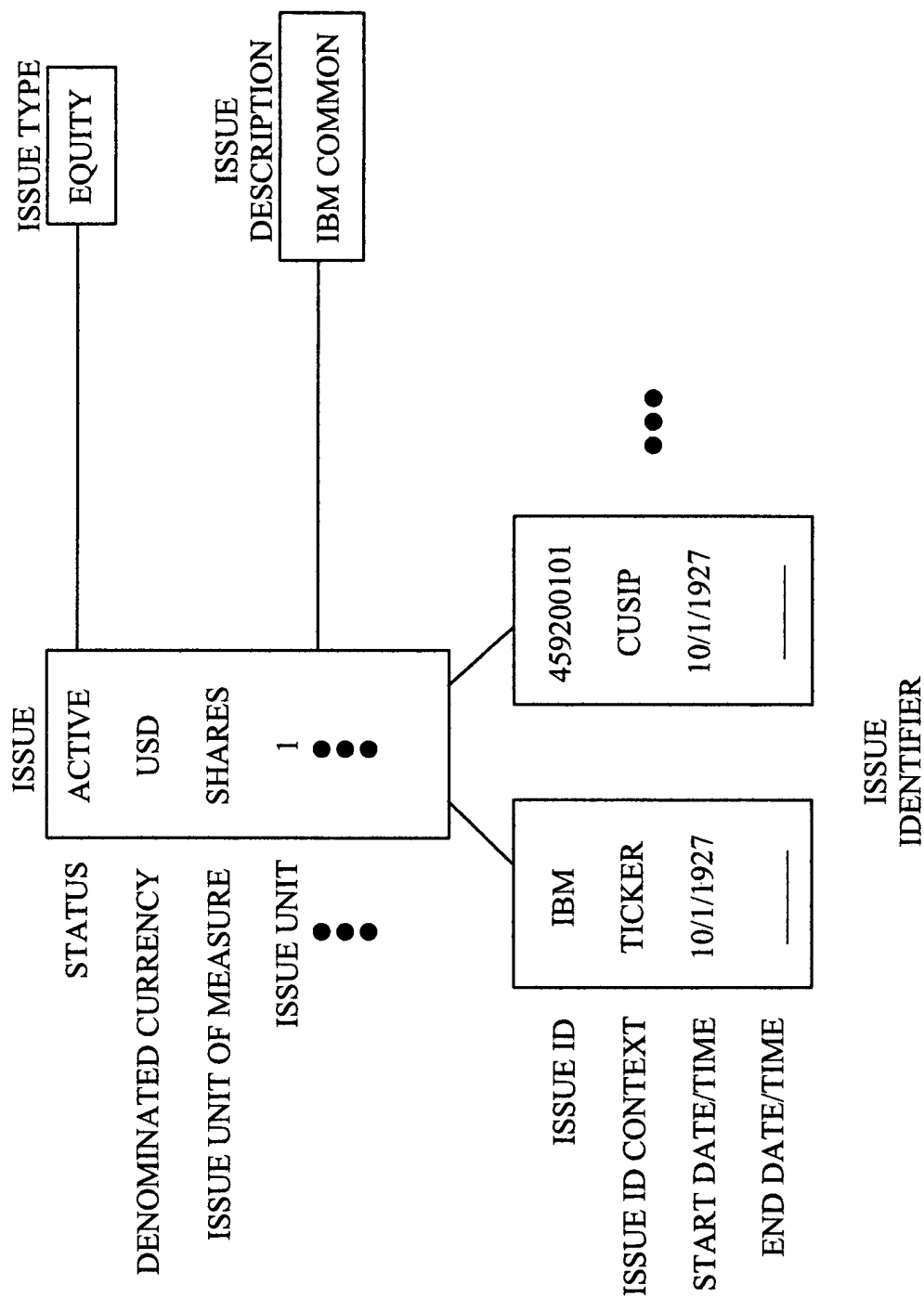
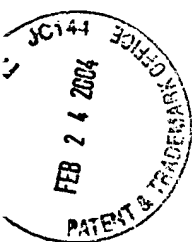


FIG. 7



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### RELATED ISSUE DEFINITION

RELATIONSHIP TYPE	START DATE/TIME	END DATE/TIME
RIGHT TO SELL	MARCH 21	JULY 21

RIGHT TO SELL	MARCH 21	JULY 21
---------------	----------	---------

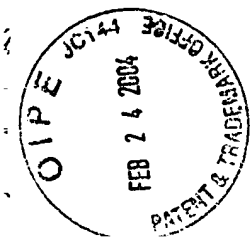
### ISSUE

INTEL PUT OPTION
INTEL COMMON STOCK
U. S. DOLLAR

### RELATED ISSUE

ROLE	AMOUNT	START DATE/TIME	END DATE/TIME
BASIS	1	MARCH 21	JULY 21
DELIVER	100	JULY 21	JULY 21
RECEIVE	12,500	JULY 21	JULY 21

FIG. 8



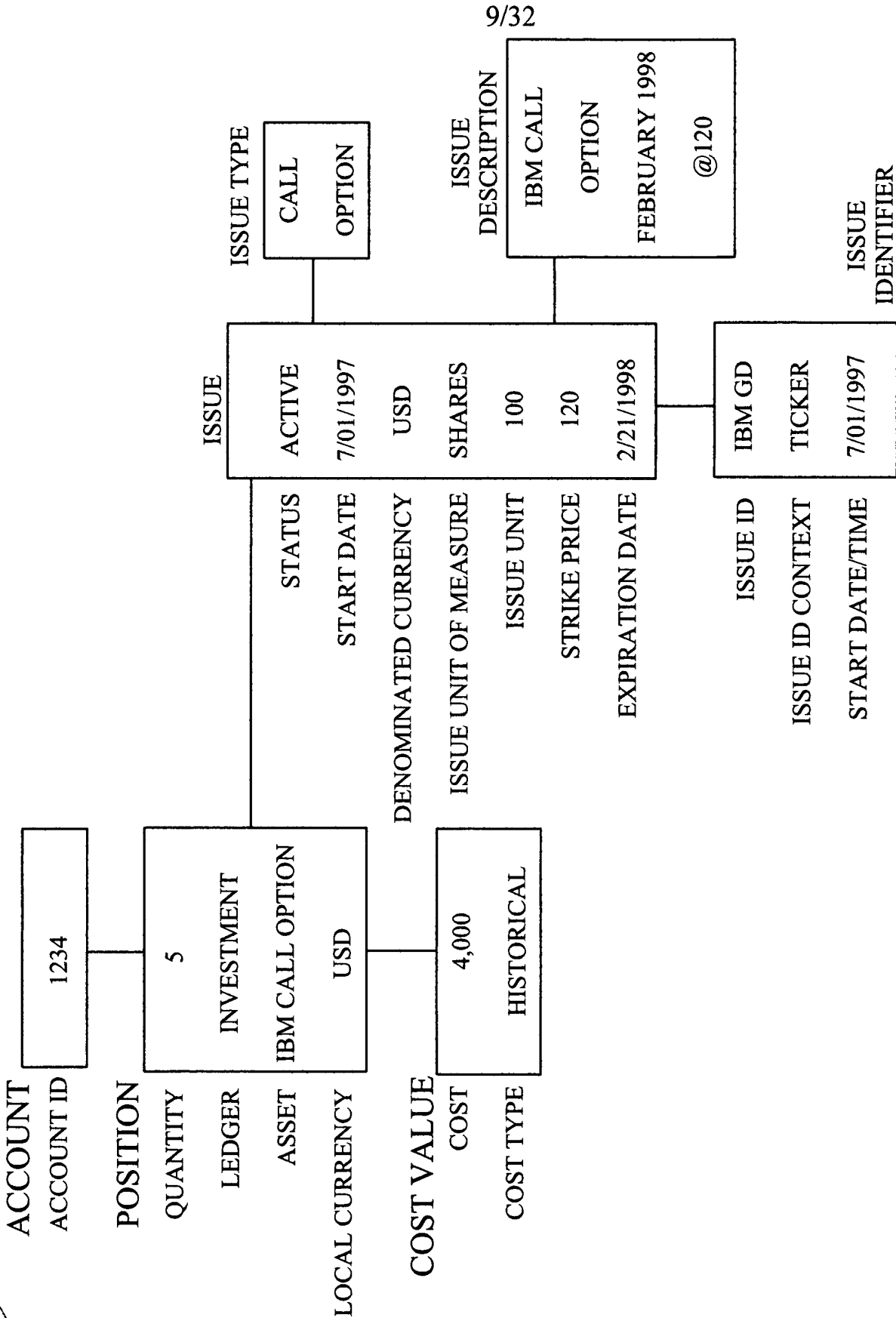
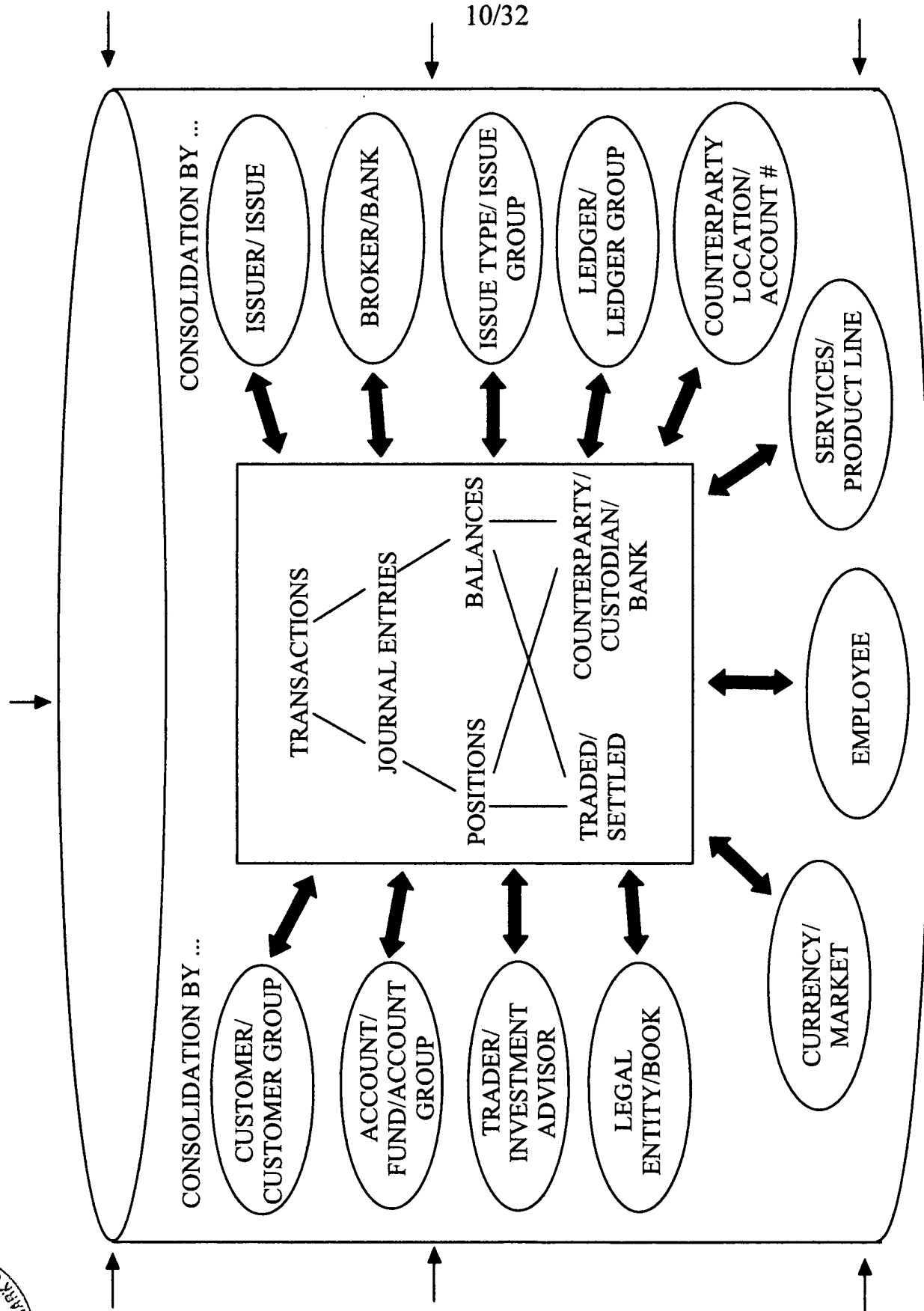
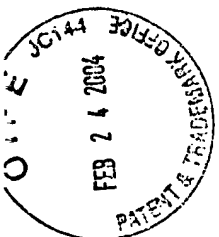


FIG. 9





↑ FIG. 10



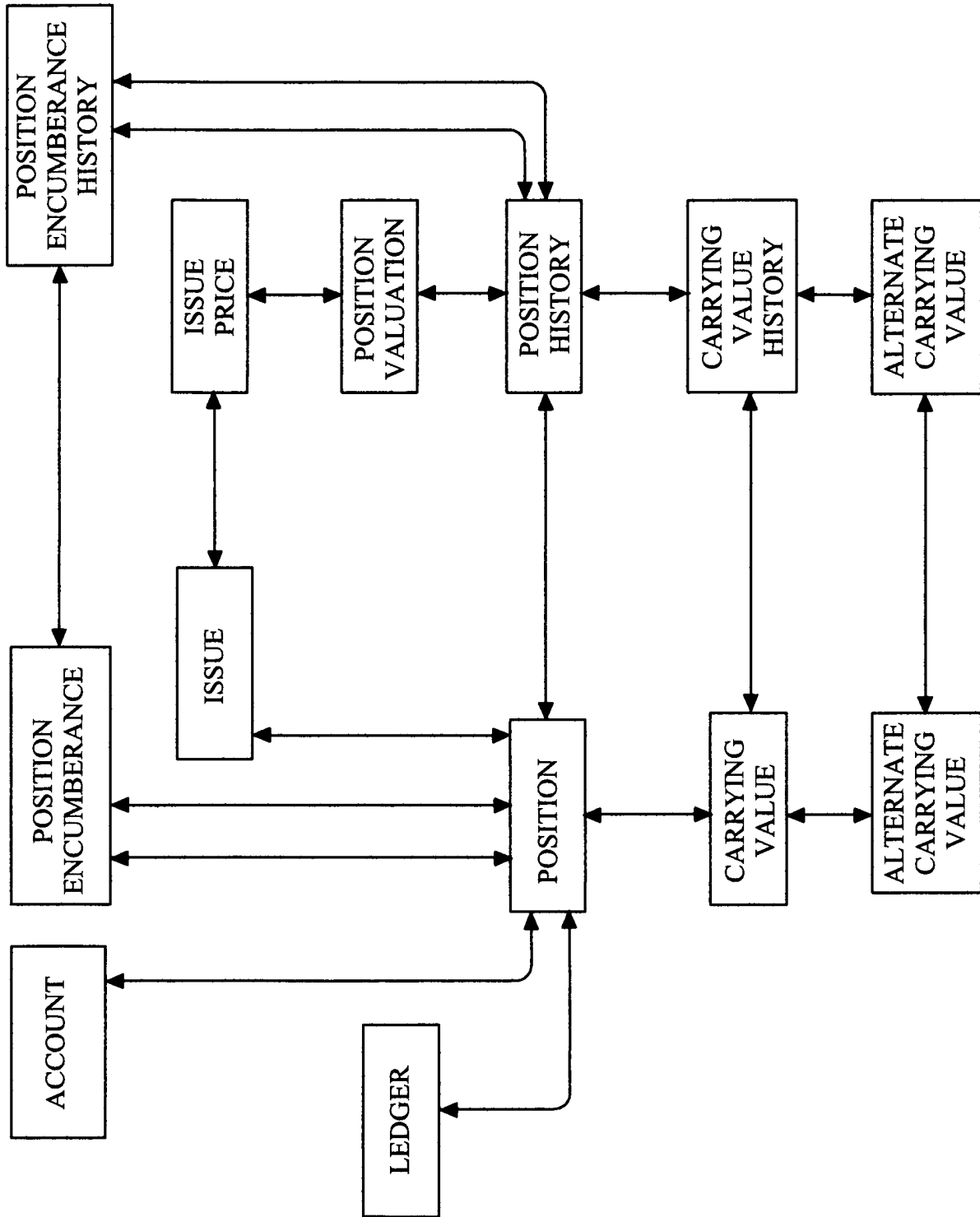
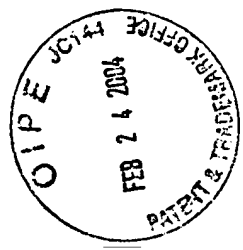


FIG. 11



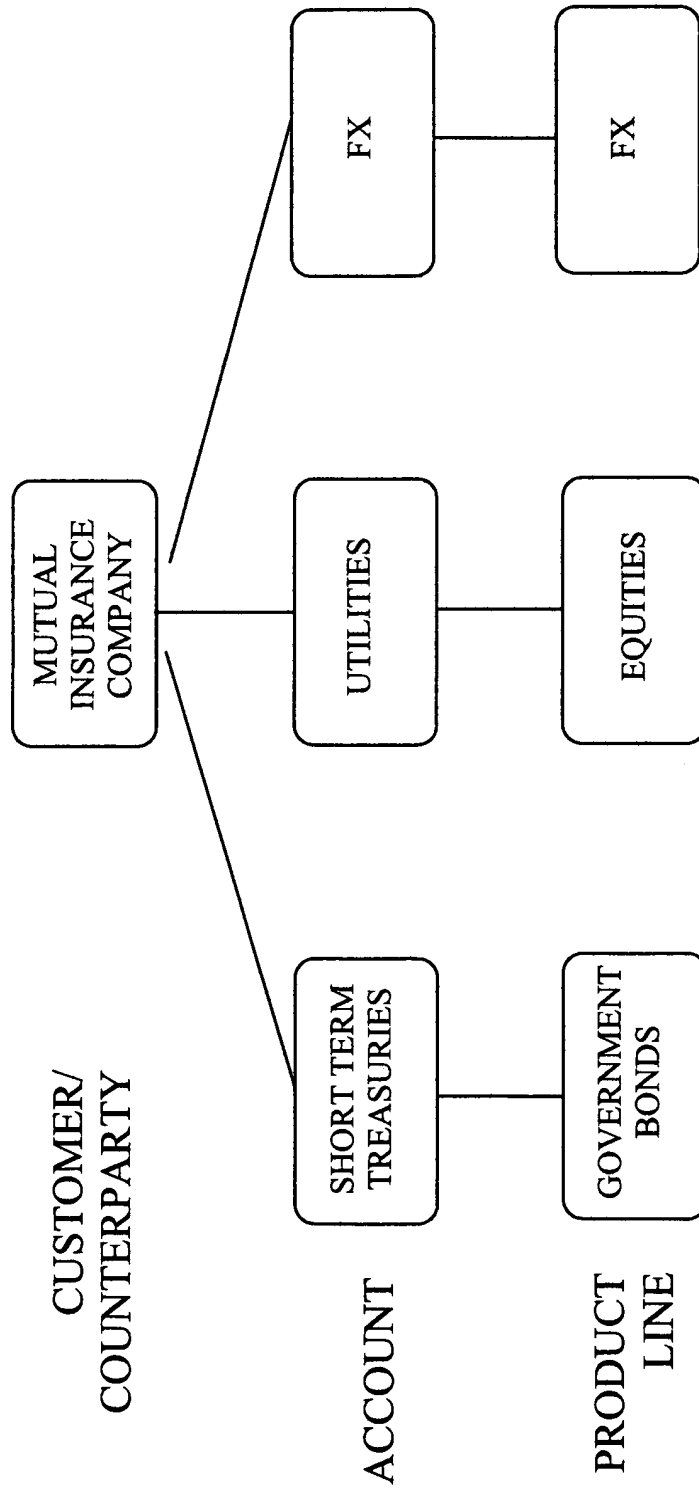
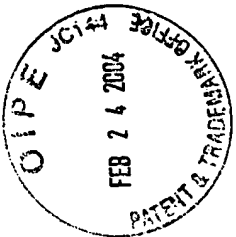


FIG. 12



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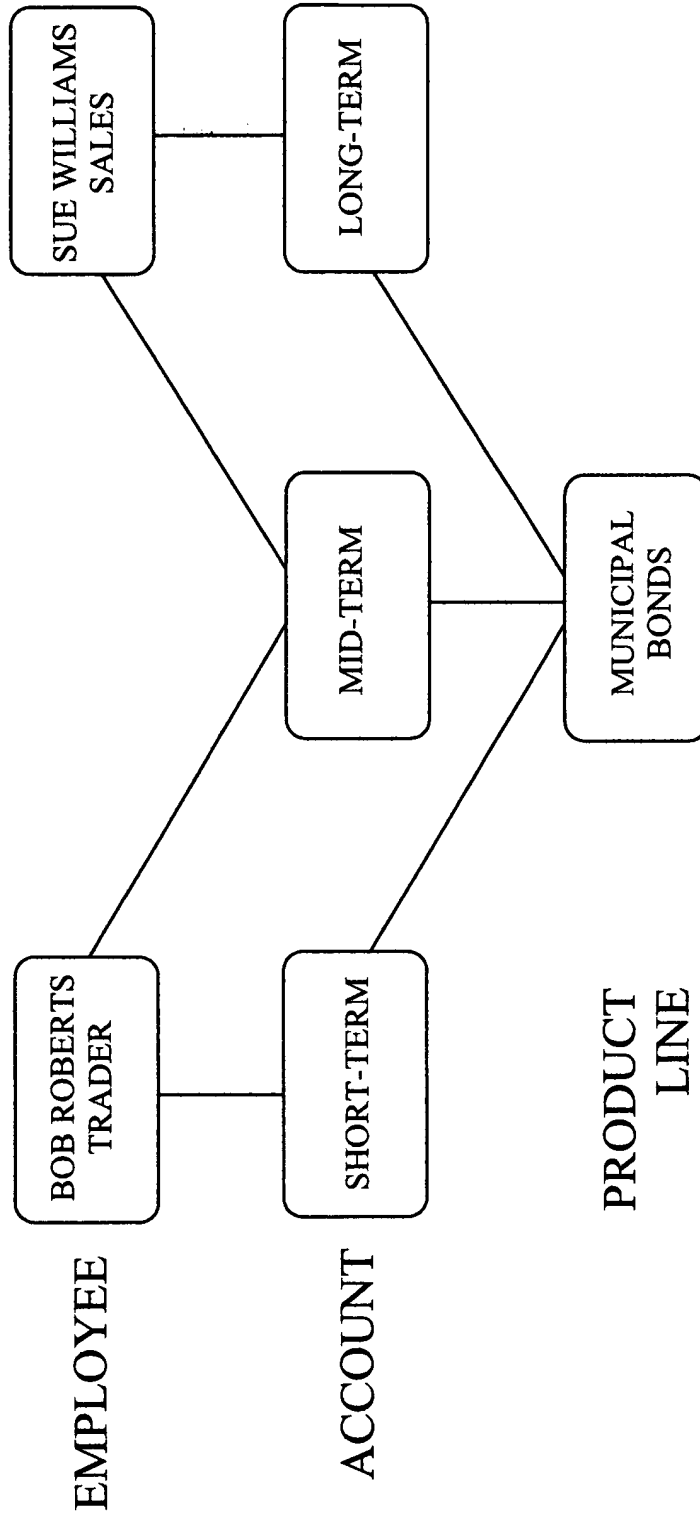


FIG. 13



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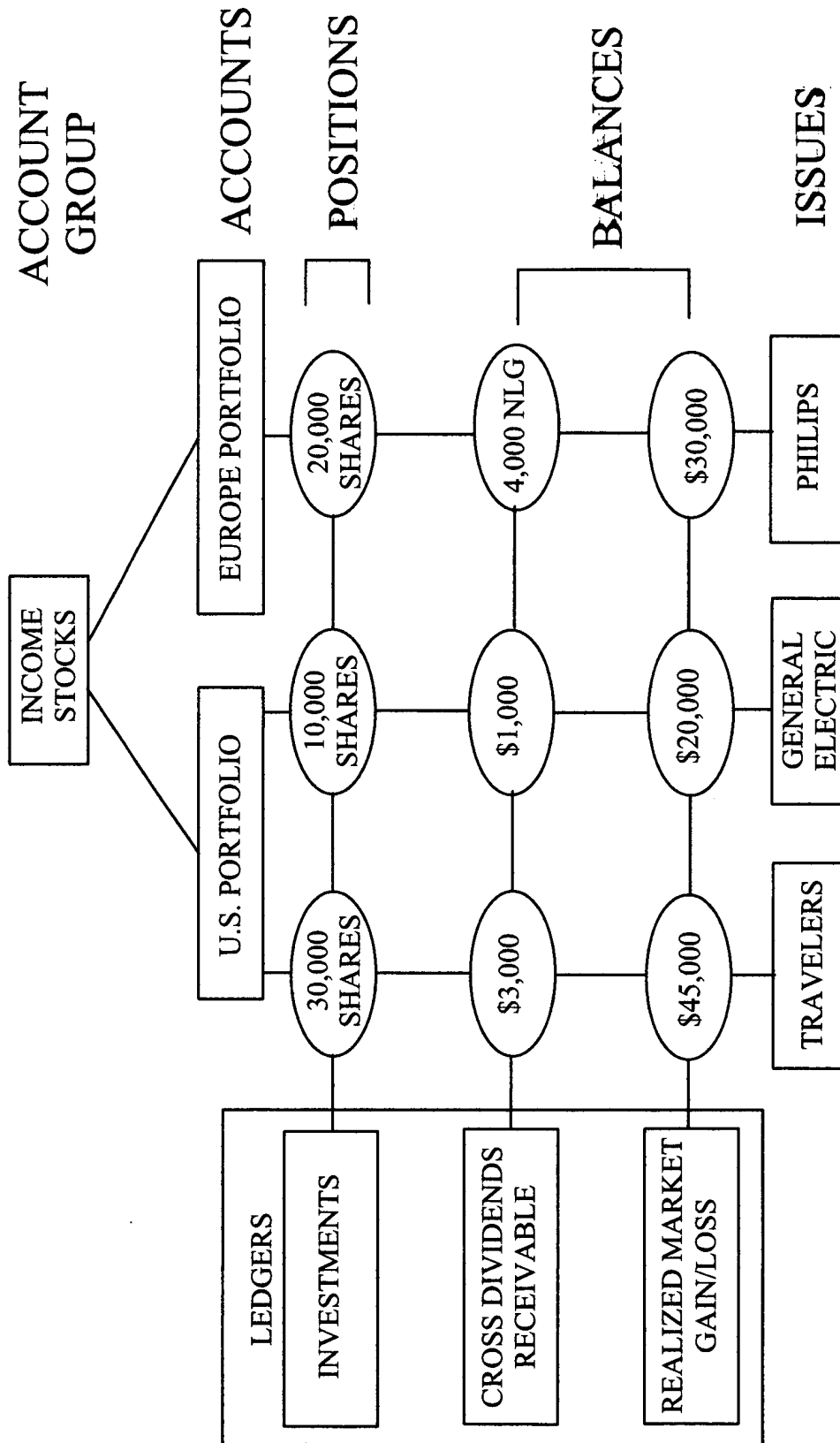


FIG. 14



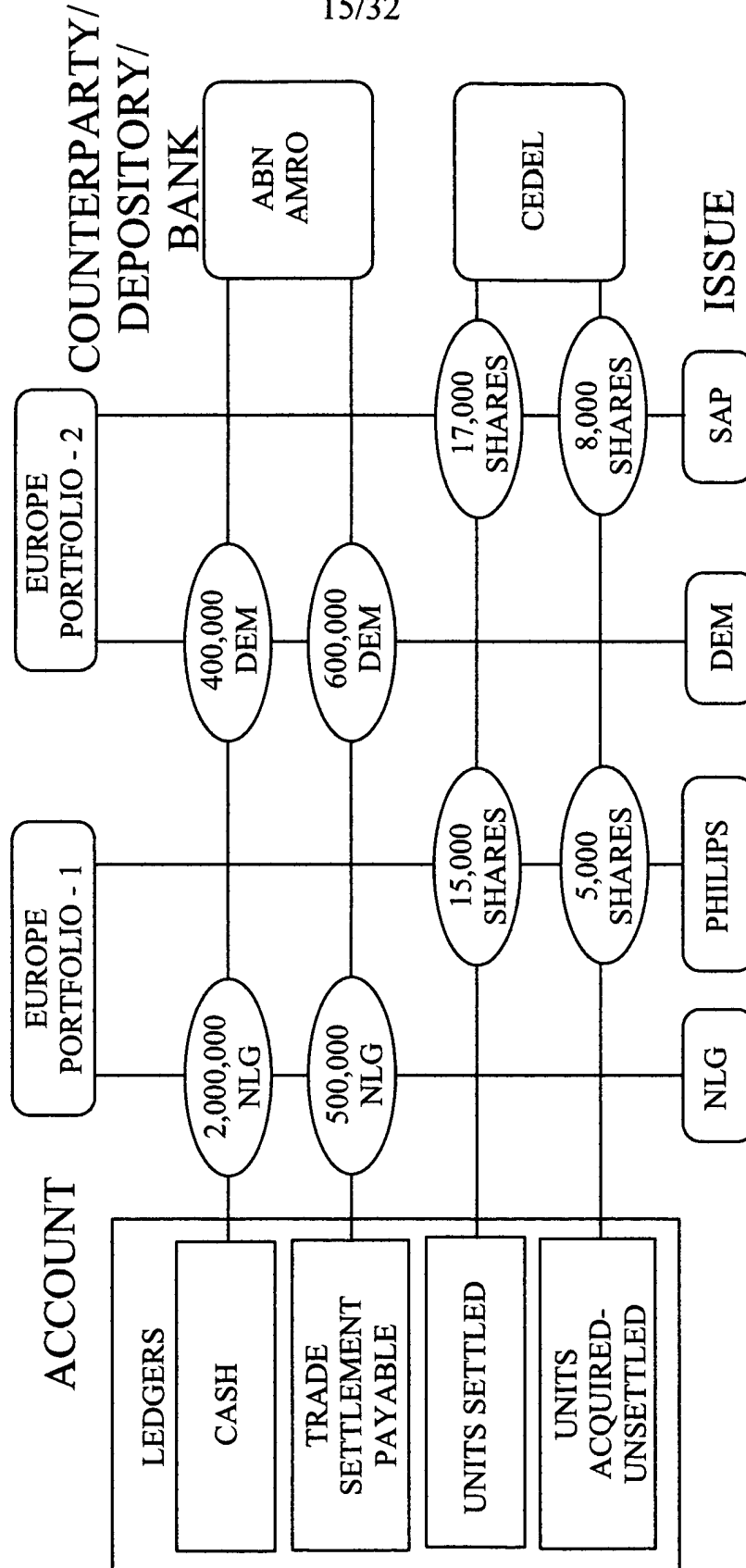


FIG. 15

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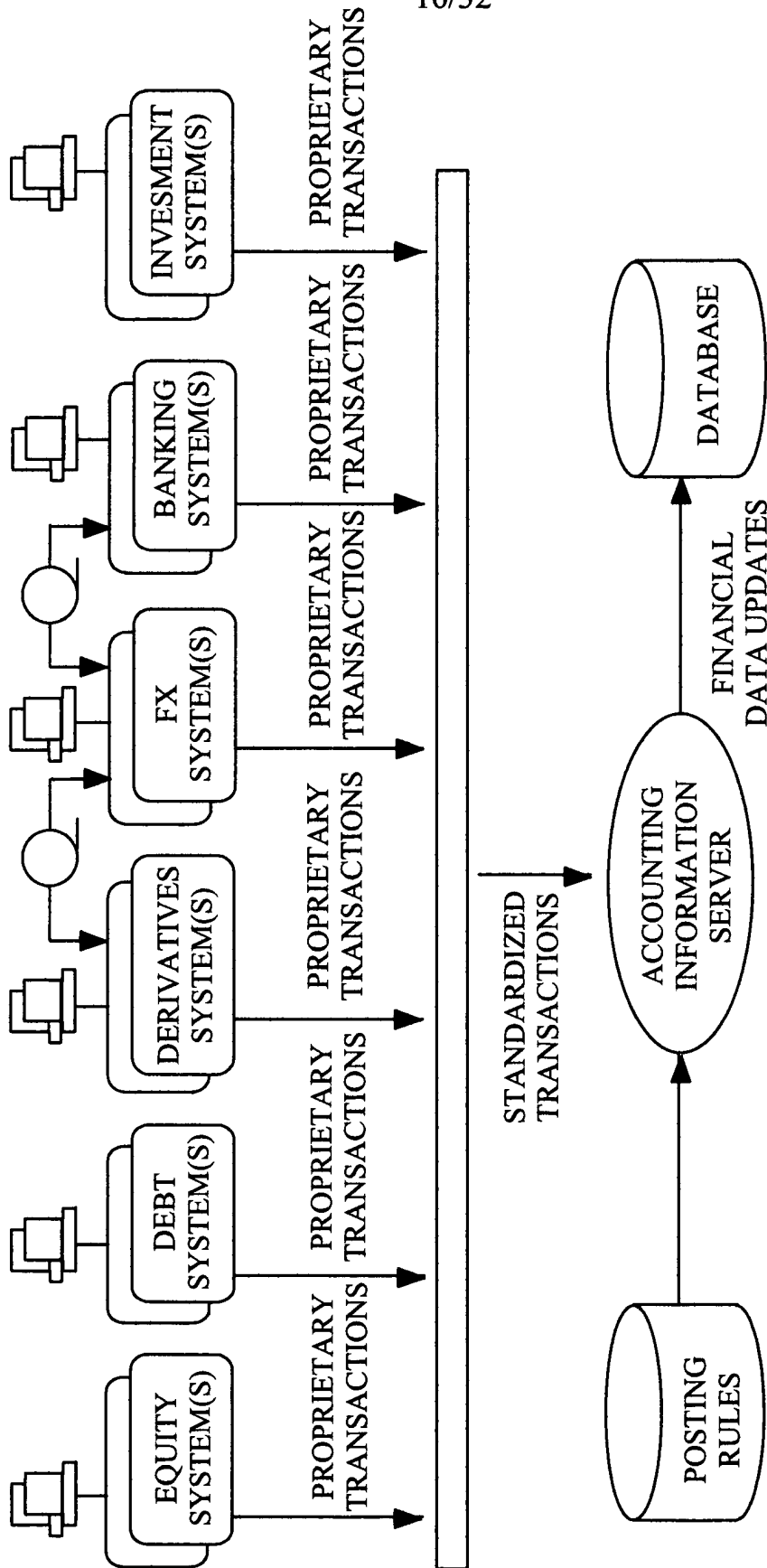
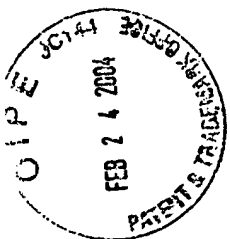


FIG. 16



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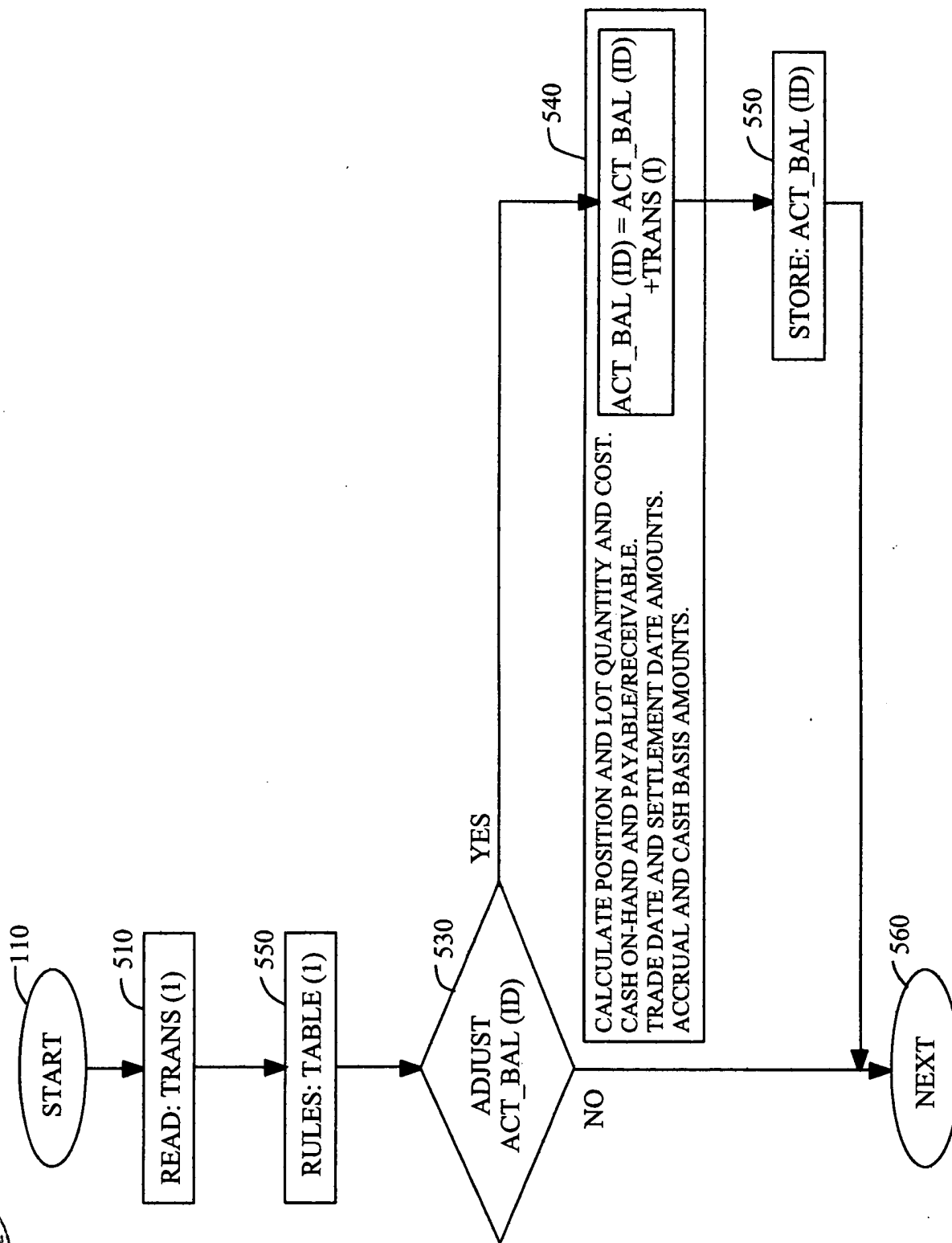
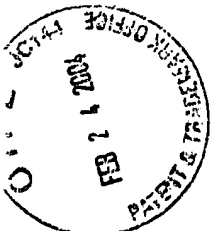
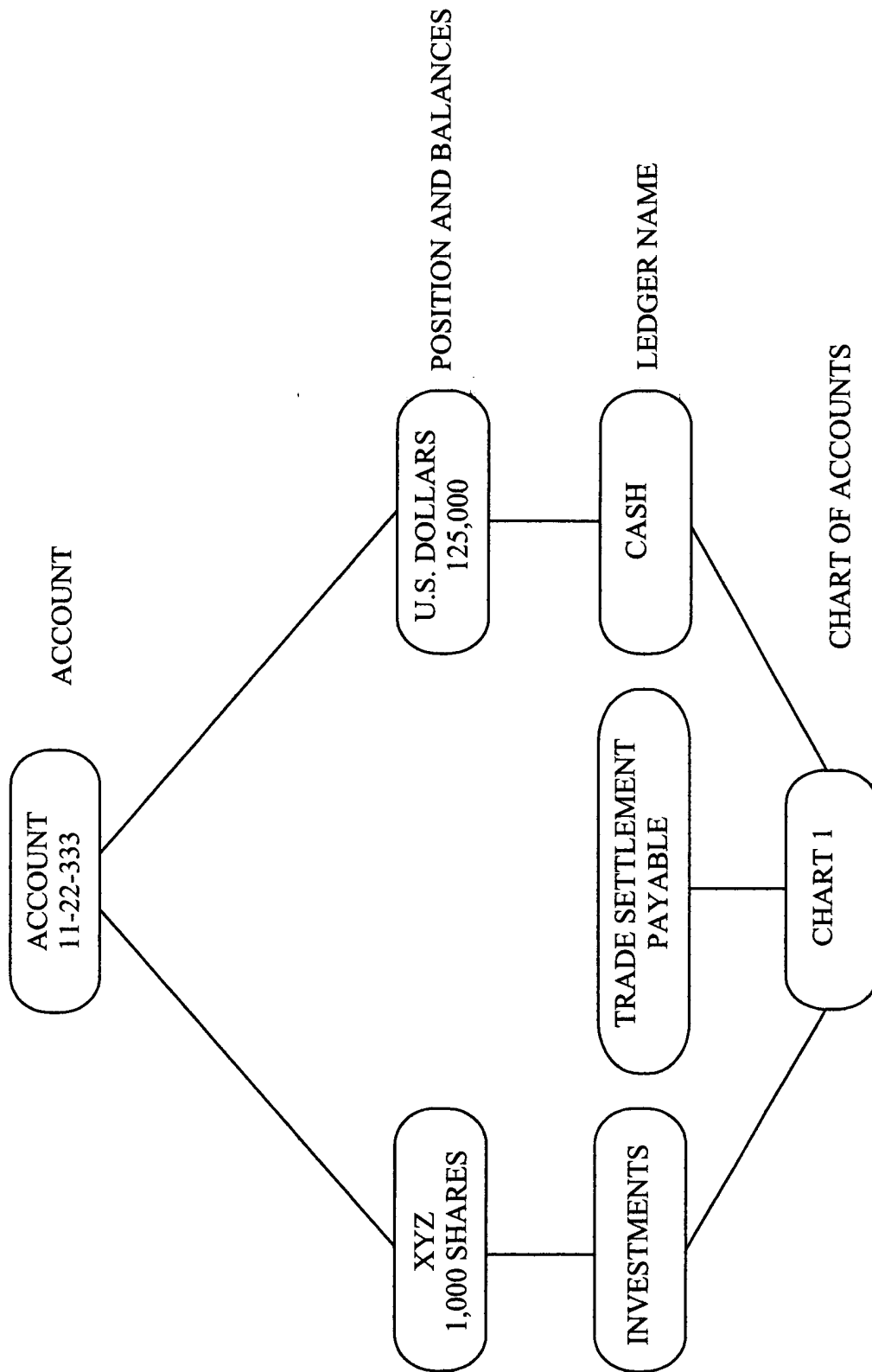


FIG. 17





DATABASE TABLES



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FIG. 18A

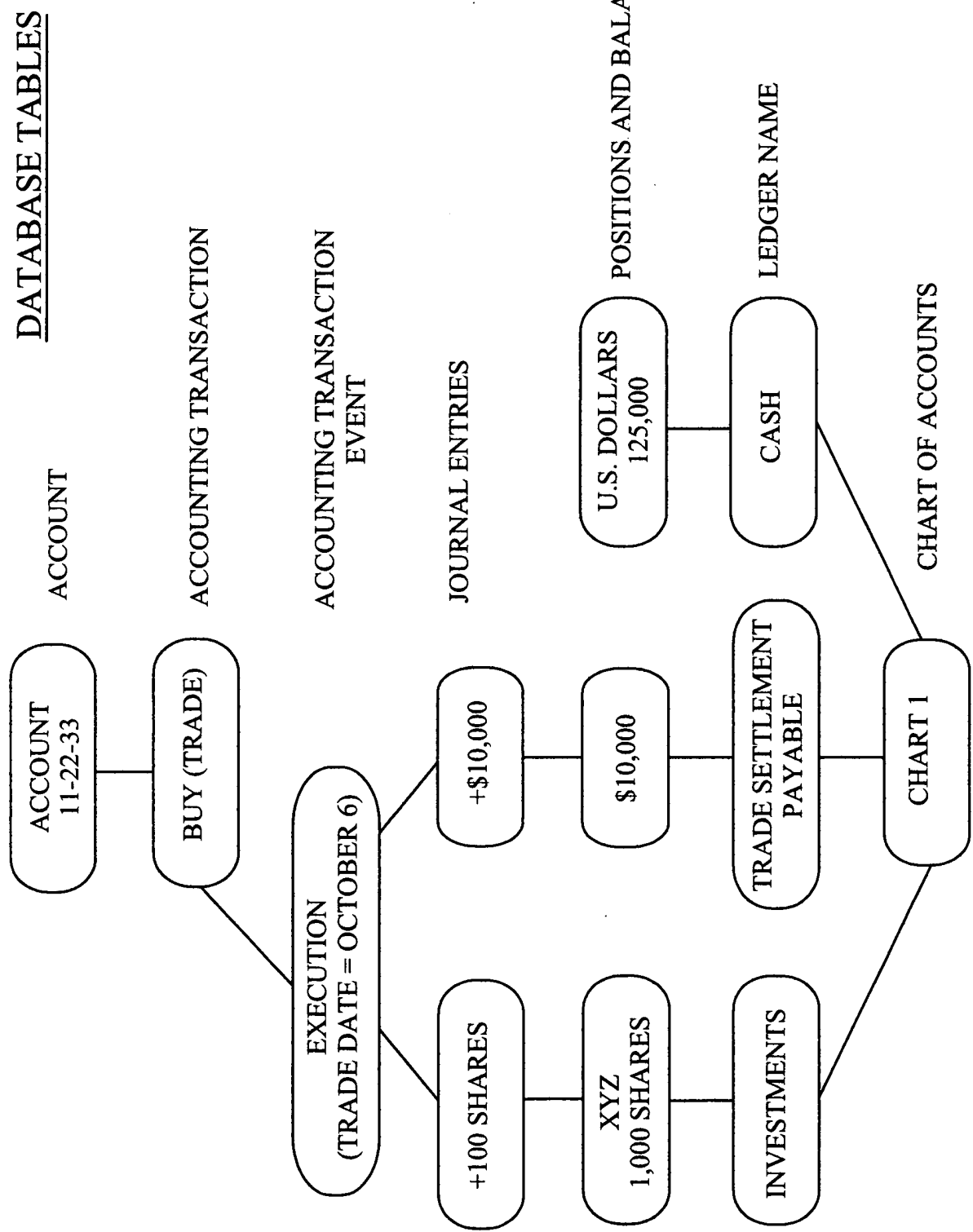


FIG. 18B



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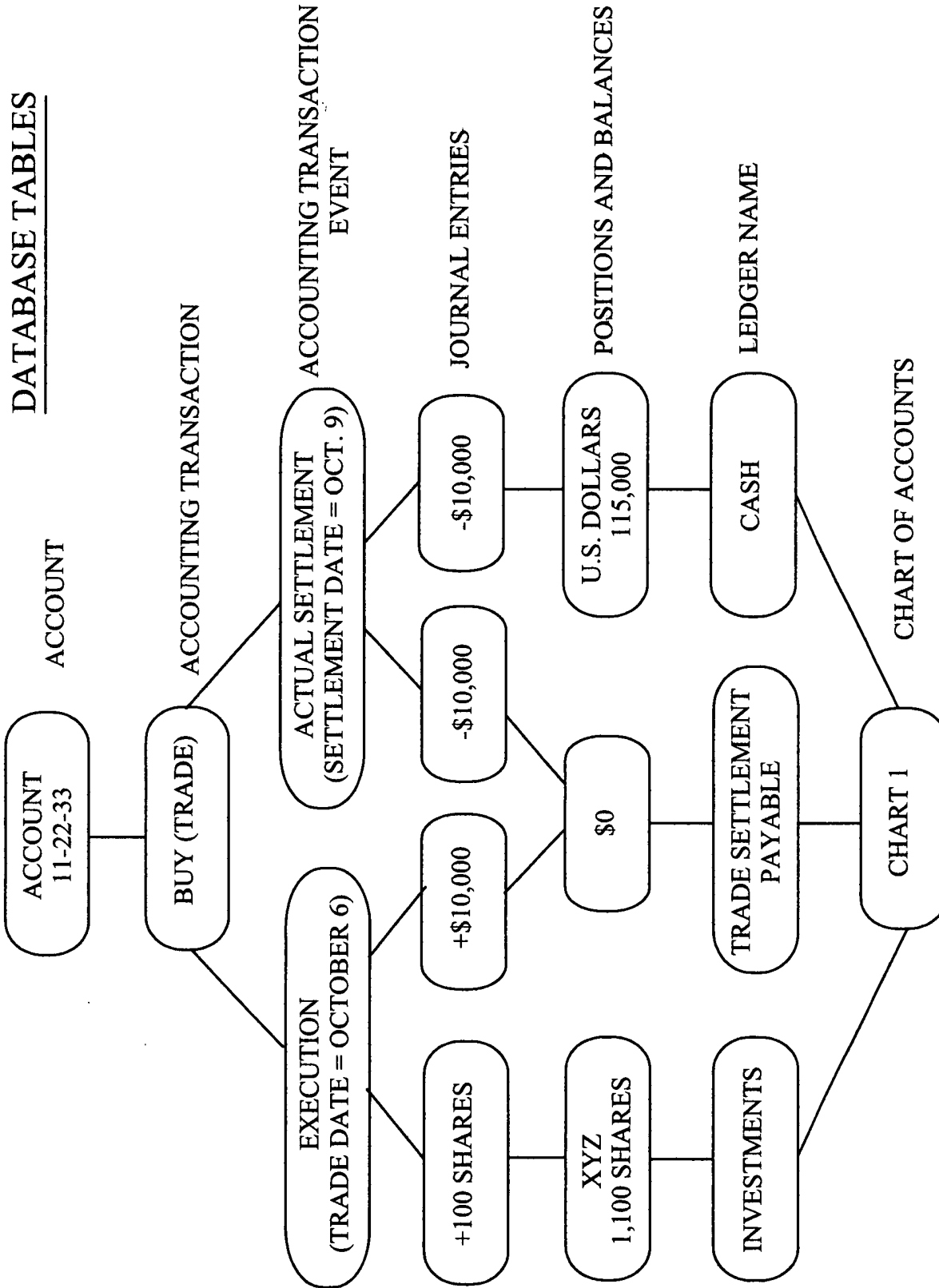


FIG. 18C

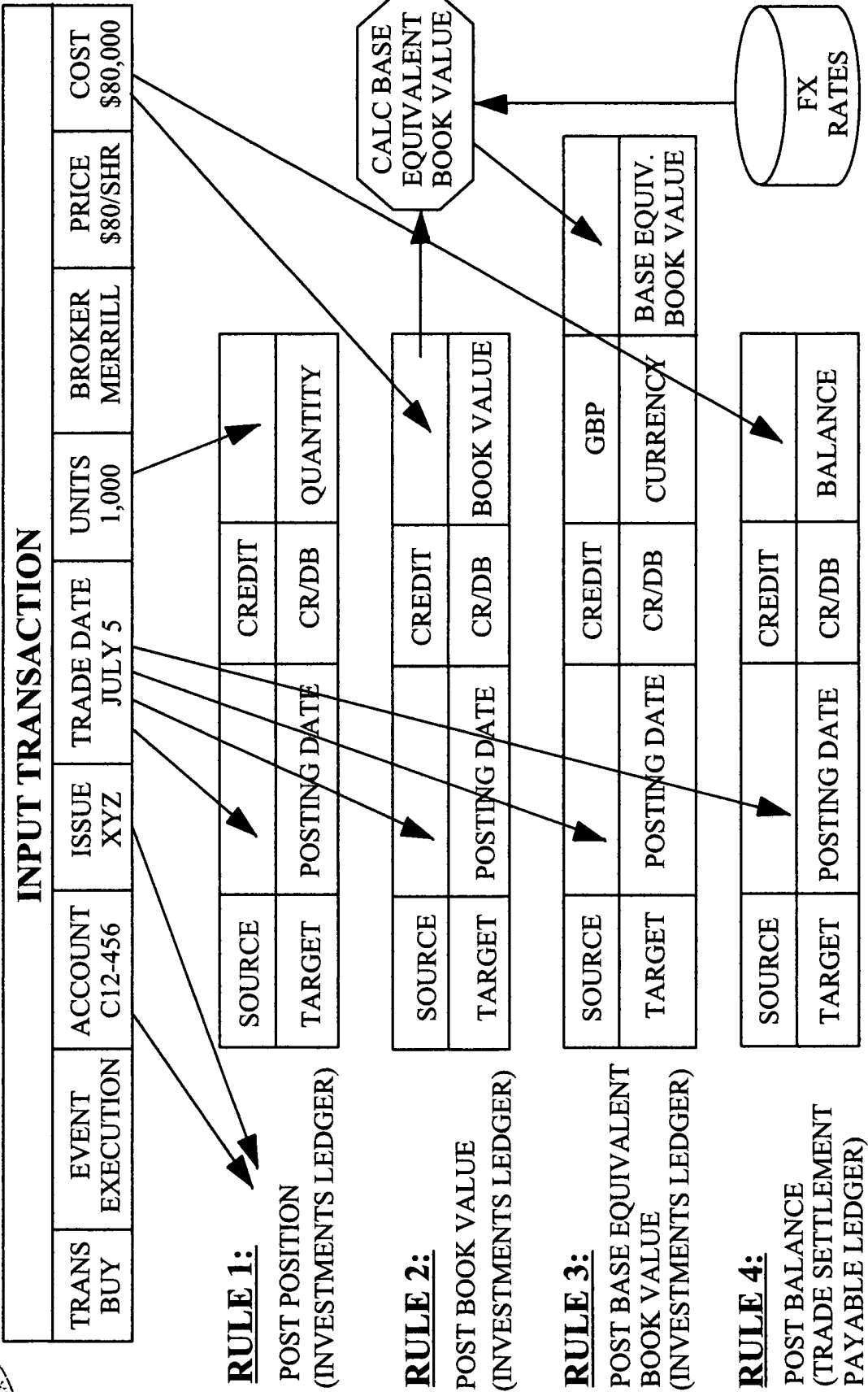
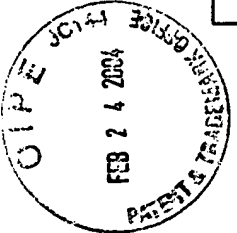


FIG. 19



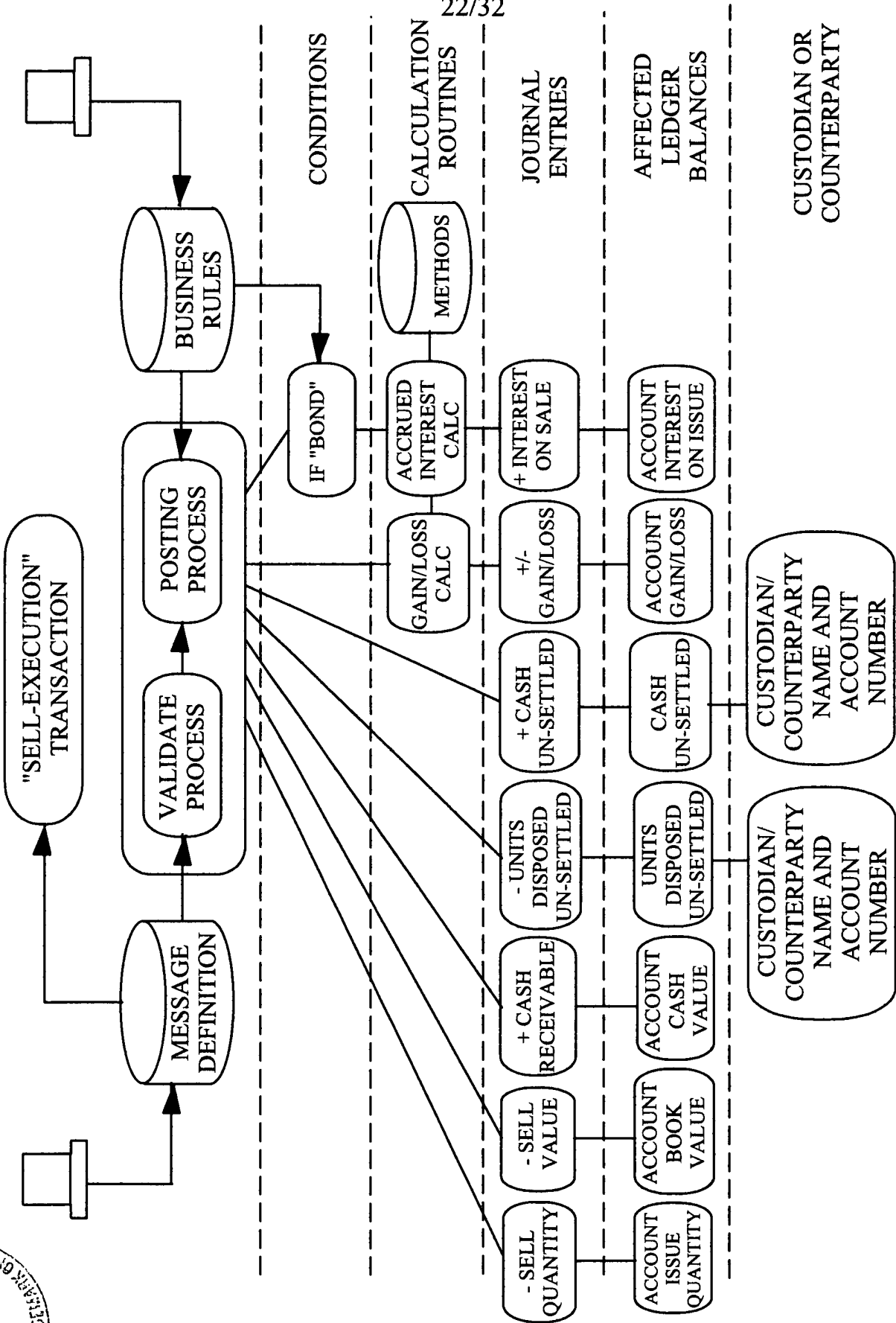
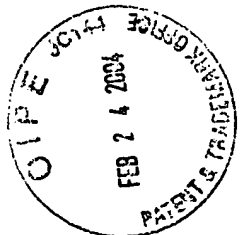


FIG. 20





Untitled Document - Microsoft Internet Explorer

BACK VIEW FWD STOP

RE-FRESH HOME SEARCH FAVOR-ITES HISTORY CHNL FULL MAIL PRINT EDIT

http://www.picsoft.com/fti/SecurityMaster\_Sample/

Administration

Corporate Actions

Securities

Transactions

Analysis

You Have Errors!

- GENERAL
- ISSUE DETAILS
- DESCRIPTIONS
- IDENTITIES
- PRICES
- CHARACTERISTICS
- STATISTICS
- RATINGS/RANKINGS
- CLASSIFICATIONS
- RELATIONSHIPS
- GROUPS
- INCOME EVENTS
- PRINCIPAL EVENTS
- UNITS OF DENOMINATION
- TAX TREATMENT
- CHARACTERISTICS
- COMMENTS
- AUDIT INFORMATION
- SOURCE INFORMATION

DESCRIPTION: MORTGAGED BACKED BOND

COUNTRY: THE UNITED STATES OF AMERICA

TERMS AND PRICES

AMOUNT OUTSTANDING: 15,000,000.00

ISSUE DATE: 10/20/99

AVERAGE LIFE: 25 YEARS

MORTGAGE FACTOR: 0.367

PAYMENT SPEED:

CURRENT PRICE: 98.75

CURRENT PRICE CURRENCY: U.S. DOLLARS

COUPON:

COUPON PAY FREQUENCY: 1 TIMES QUARTERLY

CURRENCY: U.S. DOLLARS

MATURITY DATE: 10/20/29

DURATION:

AMORTIZATION WAM:

YIELD TO AVERAGE LIFE:

CURRENT YIELD:

CURRENT PRICE DATE:

COUPON NEXT DATE:

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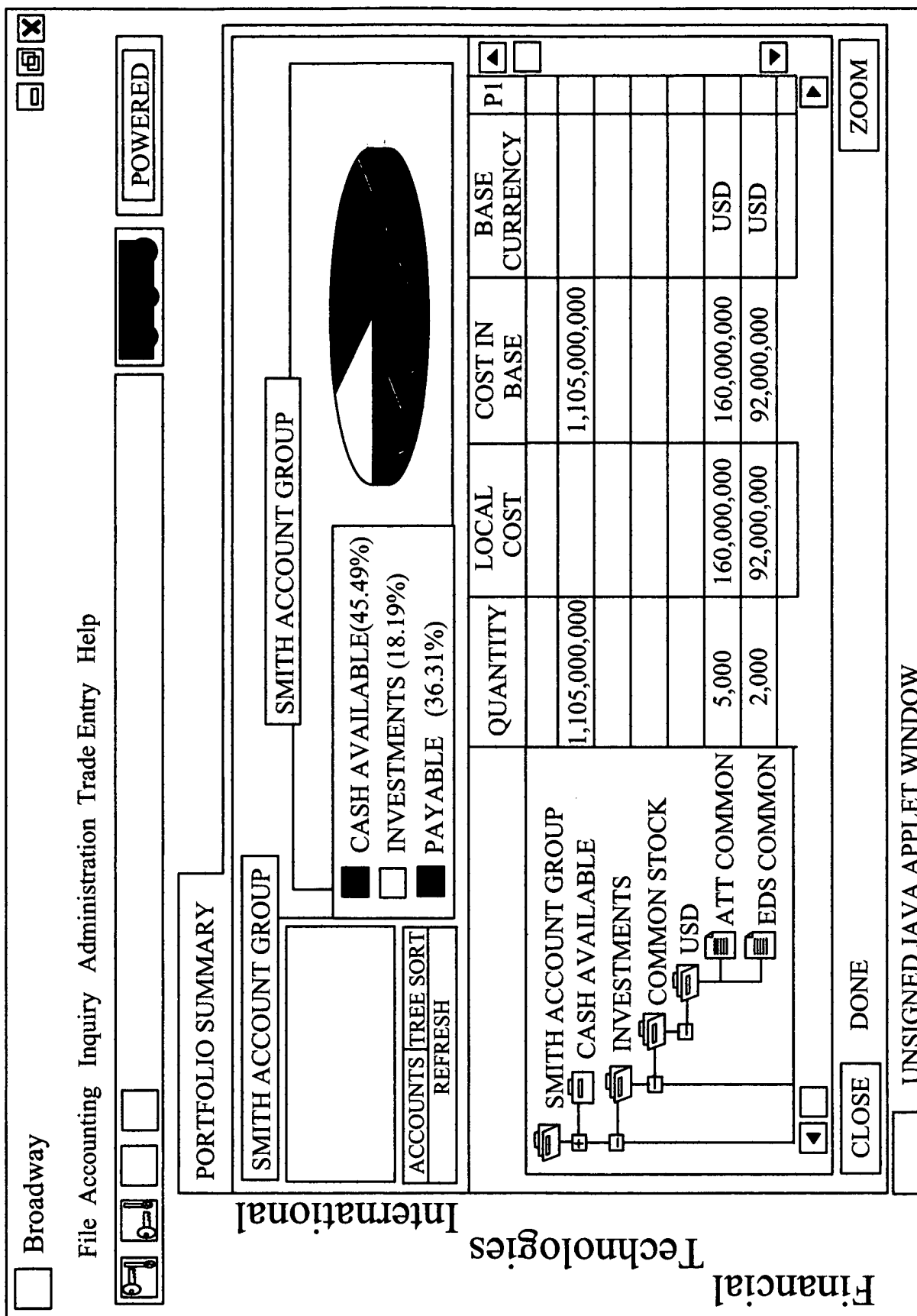
 Account - 802		  	
ACCOUNT TYPE: <input type="checkbox"/>	ACCOUNT ID: 802	NAME: HOOPER CAPITAL	
NAME SORT KEY: HOOPER	ACCOUNT STATUS: ACTIVE <input type="checkbox"/>	ACCOUNT OPENING DATE: 05/20/1992	REASON: <input type="checkbox"/>
DESCRIPTION: HOOPER CAPITAL	<input type="checkbox"/> <input type="checkbox"/>	ACCOUNT CLOSING DATE: / /	REASON: <input type="checkbox"/>
BOOK OF ACCOUNTS ID: RPT1	NAME: TREASURY	RESPONSIBILITY PERIOD START DATE: / /	TERMINATION DATE: / /
PRODUCT LINE ID: PC	NAME: EQUITIES	DEPOSITORY AFFIRMATION PROCEDURE: <input type="checkbox"/>	AFFIRMING PARTY: <input type="checkbox"/>
FISCAL YR END: 12/31	RESTRICTION: <input type="checkbox"/>	ISSUE ID: <input type="checkbox"/>	CONTEXT: <input type="checkbox"/>
LEVEL OF SERVICE: <input type="checkbox"/>	MARGIN DELINQUENCY <input type="checkbox"/>	SAVE	CANCEL

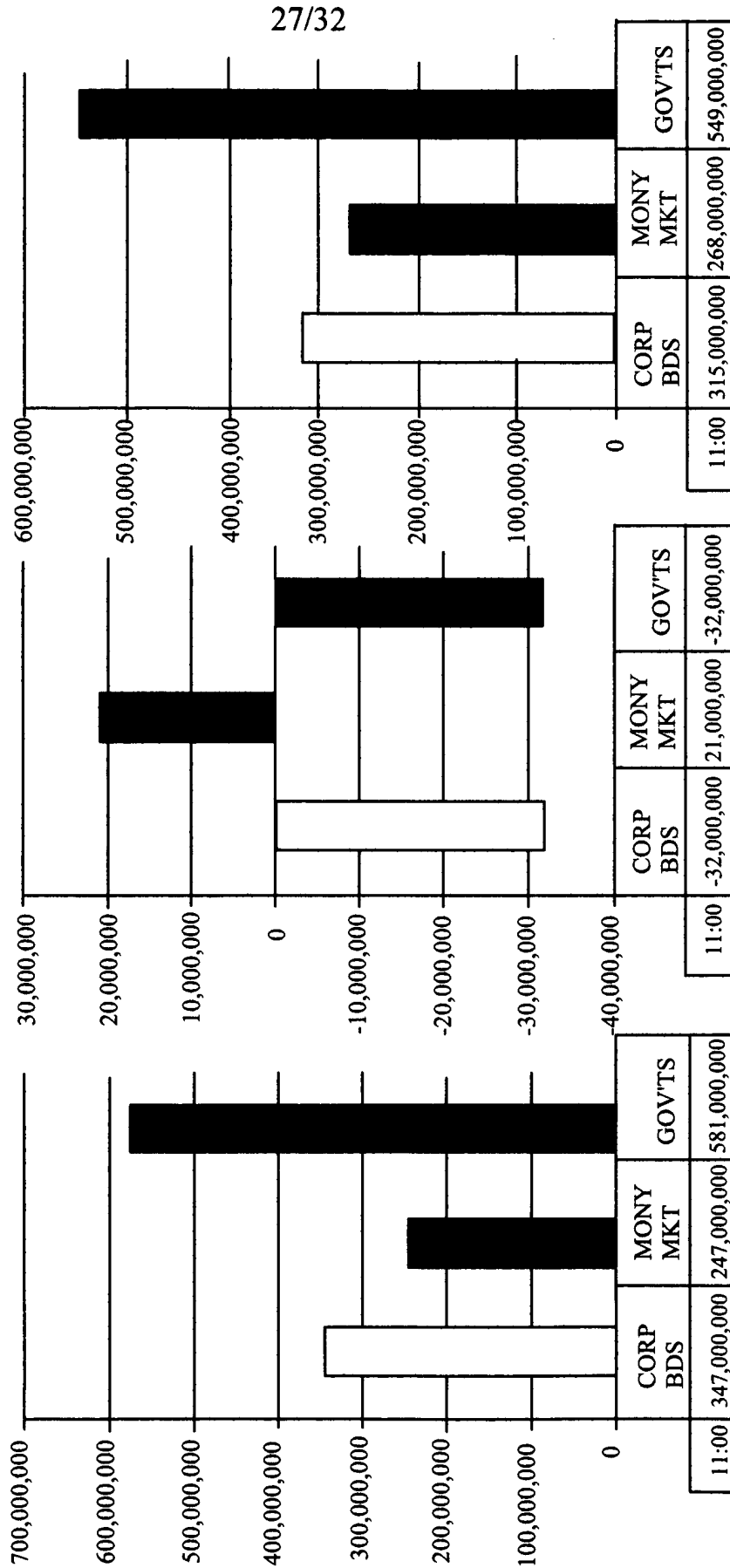
FIG. 22







**CURRENT BOX POSITION**      **AUGUST 24, 2000 11:00**      **ANTICIPATED AVAILABILITY**  
**TODAY'S ANTICIPATED**      **SETTLEMENTS**      **AT END-OF -DAY**



**FIG. 25**



Untitled Document - Microsoft Internet Explorer

BACK VIEW FWD STOP RE-FRESH HOME SEARCH FAVOR-ITES HISTORY CHNL FULL SCRN MAIL PRINT EDIT

[http://www.picsoft.com/fti/Box\\_Sample/index\\_transactions.htm](http://www.picsoft.com/fti/Box_Sample/index_transactions.htm)

**fti** Administration Corporate Actions Securities Transactions Analysis

You Have Errors!

SEARCH

ACCOUNT ID:  OR TO SEARCH ENTER

ACCOUNT NAME:

ENTER THE COUNTER PARTY INFORMATION:

BROKER SHORT NAME:  OR ACCOUNT ID:  OR TO SEARCH ENTER

COUNTER PARTY:

SEARCH

TRANSACTION REF #:

TRANSACTION INFORMATION

TRANSACTION CODE:  ☐

ENTER THE ISSUE INFORMATION:

ISSUE ID:  AND ISSUE ID TYPE:  ☐ OR TO SEARCH ENTER

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FIG. 26



## TRIAL BALANCE

REPORT DATE: 29-DEC-1999 REPORTING CURRENCY: USD

CURRENCY	ENTITY	ACCT.	NAME	LDGR ID	LEDGER NAME	OPNG BAL.	DEBIT ACTIVITY	CREDIT ACTIVITY	CLOSING BALANCE	REPORTING CURRENCY
JAPANESE YEN	ABC CAPITAL MARKET	043-99999	INVENTORY 2 - ABC CAPITAL MARKETS	9000	INVENTORY	0	120,000	<120,000>	0	0
		802-11111	ABC CAP MKT INTER-CO WITH ABC INC	9005	INTER-CO.	0	120,000	0	120,000	1,000
		875-87959	FAIL- ABC CAPITAL MARKETS	9008	FAIL	0	0	<120,000>	<120,000>	<1,000>
	ABC INCORPORATED	101-24680	SUE LIN INCORPORATED	9001	DAC CUST.	0	120,000	0	120,000	1,000
		801-22222	ABC INC INTER-CO WITH ABC CAP MARKET	9005	INTER-CO.	0	0	<120,000>	<120,000>	<1,000>
TOTALS						0	360,000	<360,000>	0	0
US DOLLARS	ABC CAPITAL MARKET	043-99999	INVENTORY 2 - ABC CAPITAL MARKETS	9000	INVENTORY	0	1,000	<2,000>	<1,000>	<1,000>
		875-87959	FAIL- ABC CAPITAL MARKETS	9008	FAIL	0	1,000	0	1,000	1,000
TOTALS						0	2,000	<2,000>	0	0
REPORTING CURRENCY TOTAL										0

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FIG. 27

0111-00141  
FEB 2 4 2004  
FEDERAL RESERVE OFFICE  
WASHINGTON, D.C.

AS OF 16:00:00 AS OF DATE: 24-AUG.-2000

AVAILABLE COLLATERAL PROJECTIONS

ISSUE TYPE	ISSUE NAME	ID	RTG	PRICE	MGN RATE	LOCATION	POSITION	ENCUMBERED POSITIONS	ANTICIPATED SETTLEMENTS	AVAILABLE COLLATERAL	MARKET VALUE	MARGIN VALUE
BOND	RADAMCO N.V. YANKEE NOTES 7.750%	774849AB9	Aa	98.50	102	DTC	40,000,000	0	-70,000,000	-30,000,000		
						EUROCLR	75,000,000	0	0	75,000,000	73,875,000.00	72,426,470.00
	RALSTON PURINA DEBENTURES 9.30%	751277AN4	A-	102.00	102	DTC	33,000,000	0	0	33,000,000	33,660,000.00	33,000,000.00
	RECOGNITION EQUIP INC. CONVERTIBLE SUB.	756231ACO	B+	102.00	102	DTC	77,000,000	0	-40,000,000	37,000,000	37,740,000.00	37,000,000.00
	REED ELSEVER CAPITAL INC. NOTES 7%	75820QAB8	AA-	101.00	105	DTC	65,000,000	0	40,000,000	105,000,000	106,050,000.00	103,970,588.24
						EUROCLR	26,000,000	0	13,000,000	39,000,000	39,390,000.00	38,617,647.06
GOVT	US TREASURY BOND COUPON 8.75% 11-15-2008	912810CE6		101.00	105	CHASE	93,000,000	20,000,000	-29,000,000	44,000,000	44,440,000.00	42,323,809.52
	US TREASURY INFLATION INDEXED NOTE	9128273A8		100.00	105	CHASE	116,000,000	0	2,000,000	118,000,000	118,000,000.00	112,380,952.38
	US TREASURY NOTE COUPON 7.75% 12-31-99	912827S45		99.00	105	CHASE	145,000,000	0	5,000,000	150,000,000	148,500,000.00	141,428,571.43
MONEY MKT	US TREASURY NOTE COUPON 8.5% 11-15-2000	912810EF1		102.00	103	CHASE	24,000,000	0	11,000,000	35,000,000	35,700,000.00	34,000,000.00
	BANKERS TRUST 0% CPN 7-7-2000	066320AL6	Aa	101.00	103	DTC	46,000,000	0	-19,000,000	27,000,000	27,270,000.00	26,475,728.10
	HOUSEHOLD FIN. CORP. BE 1 AP 93 SEMI-PAY	44181JGL5	A	100.00	103	DTC	118,000,000	0	0	118,000,000	118,000,000.00	114,563,106.60
	LASALLE NORTHWEST ZERO % 7-3-2000	518027SQ1		101.50	103	DTC	28,000,000	0	-14,000,000	14,000,000	14,210,000.00	13,796,116.50
	MERRILL LYNCH & CO 6TH MONTH LIBOR	59018SRH9	AA-	98.00	103	DTC	85,000,000	0	0	85,000,000	83,300,000.00	80,873,786.41

FIG. 28

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Untitled Document - Netscape

BACK VIEW FWD STOP RE-FRESH HOME SEARCH FAVOR-ITES HISTORY CHNL FULL SCRN MAIL PRINT EDIT

http://www.picsoft.com/fti/SecurityMaster\_Sample/index.htm

Administration Corporate Actions Securities Transactions Analysis

You Have Errors!

DATA BASE CONNECTION INFORMATION

MAXIMUM:

MINIMUM:

DB SOURCE:

MINIMUM SESSIONS PER CONNECTION:

MAXIMUM SESSIONS PER CONNECTION:

CONNECTION REFRESH INTERVAL:

SESSION REFRESH INTERVAL:

SUBMIT

FIG. 29

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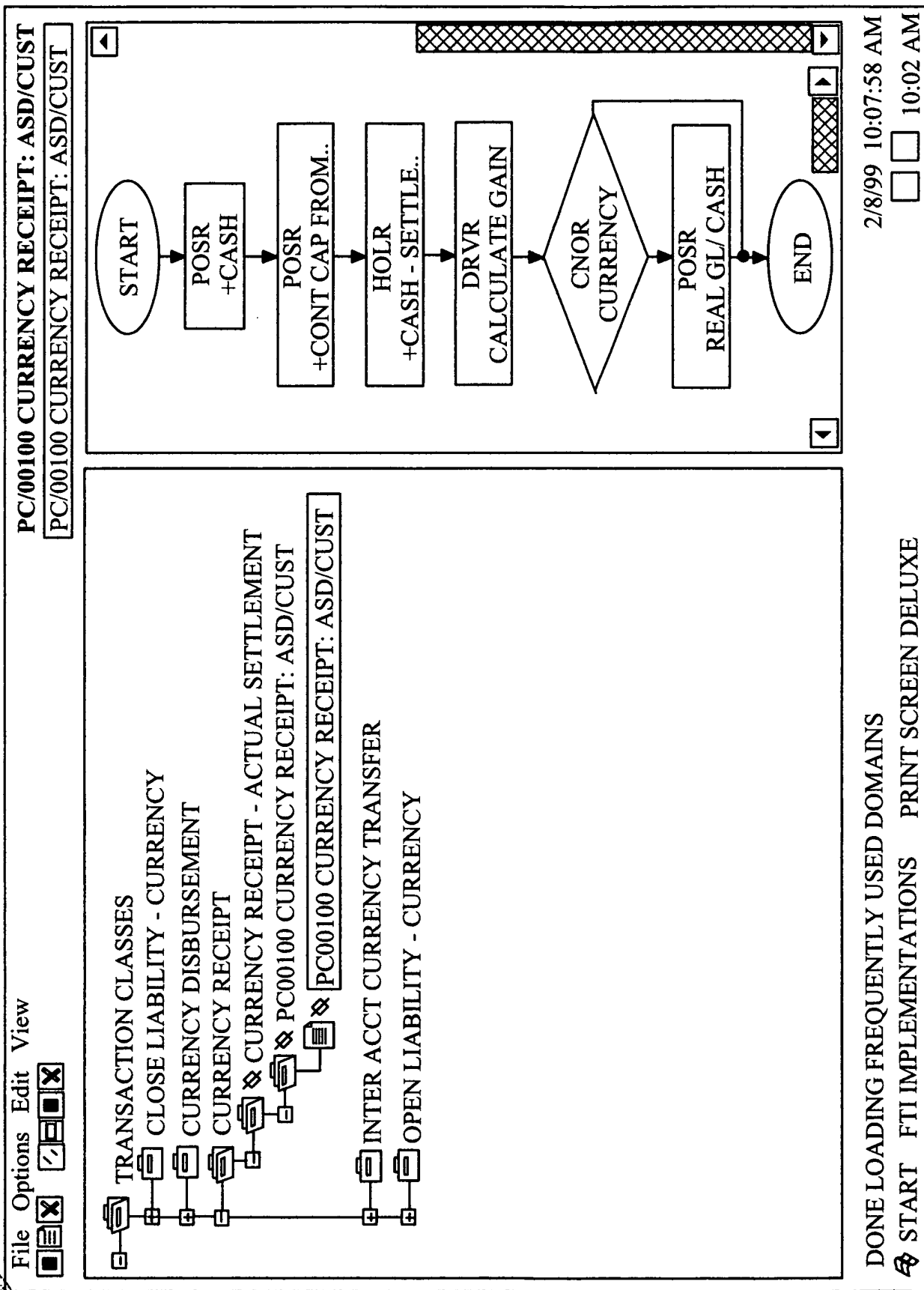


FIG. 30